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Attorney Docket No. PU60173

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|------------------------|---------------------------|-----------------------|
| INTERNATIONAL APP. NO. | INTERNATIONAL FILING DATE | PRIORITY DATE CLAIMED |
| PCT/US2004/009909      | 29 March 2004             | 04 April 2003         |

TITLE OF INVENTION

PROCESS AND INTERMEDIATES FOR PREPARING BENZAZEPINES

APPLICANT(S) FOR DO/US

Jose J. CONDE, Lewilynn L. GOLDFINGER, Michael A. MCGUIRE, Susan C. SHILCRAT,  
Michael D. WALLACE, Marvin Sungwhan YUFILING OF AN INFORMATION DISCLOSURE STATEMENT

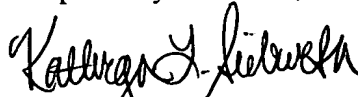
Applicants request that the references identified on Form PTO-1449 appended hereto be considered by the Examiner and officially made of record in accordance with the provisions of 37 CFR 1.97

☒ [X] A copy of the International Search Report, which issued on International Application No. PCT/US2004/009909 is submitted herewith. All of the publications cited in the International Search Report are listed on the attached form PTO-1449 and Applicants understand that copies have been supplied to the U.S. Patent Office by the International Bureau.

☐ [ ] Copies of references not listed on the International Search Report are enclosed.

The attached list of citations on PTO Form 1449 is being submitted under the provisions of 37 CFR §1.56 and §1.97 in order to comply with the duty of disclosure. Their inclusion herein should not, however, be construed as an admission that any particular cited reference is effective prior art or that it discloses or renders obvious any aspect of the claimed invention. This statement is being filed within the time period specified in 37 CFR §1.97(b). No fee is required.

Respectfully submitted,



Kathryn L. Sieburth  
Attorney for Applicants  
Registration No. 40,072

GLAXOSMITHKLINE  
Corporate Intellectual Property - UW2220  
P.O. Box 1539  
King of Prussia, PA 19406-0939  
Phone (610) 270-5012  
Facsimile (610) 270-5090  
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Sheet 1 of 1

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| Form PTO-1449  | U.S. Department of Commerce<br>Patent and Trademark Office | ATTY. DOCKET NO.<br>PU60173 | INTERNATIONAL APPLICATION NO.<br>PCT/US2004/009909 |
| <b>INFORMATION DISCLOSURE STATEMENT<br/>BY APPLICANT</b><br><br><i>(Use several sheets if necessary)</i> |  | APPLICANT<br>Conde et al.   |  |
|  |  | FILING DATE<br>Herewith     | GROUP<br>Not Yet Assigned                          |

**U.S. PATENT DOCUMENTS**

| Examiner<br>Initial |  | Document Number | Date | Name | Class | Subclass | Filing Date<br>If Appropriate |
|---------------------|--|-----------------|------|------|-------|----------|-------------------------------|
|                     |  |                 |      |      |       |          |                               |
|                     |  |                 |      |      |       |          |                               |
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|                     |  |                 |      |      |       |          |                               |

**FOREIGN PATENT DOCUMENTS**

|  |  | Document Number | Date   | Country | Class | Subclass | Translation |    |
|--|--|-----------------|--------|---------|-------|----------|-------------|----|
|  |  |                 |        |         |       |          | Yes         | No |
|  |  | WO 99/15178     | 4/1/99 | PCT     |       |          |             |    |
|  |  |                 |        |         |       |          |             |    |
|  |  |                 |        |         |       |          |             |    |
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|  |  |                 |        |         |       |          |             |    |

**OTHER DOCUMENTS** (Including Author, Title, Date, Pertinent Pages, Etc.)

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| EXAMINER  | DATE CONSIDERED |  |
| EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. |                 |  |